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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/756,711	01/13/2004	Jeffrey L. Milner	EJ-7563	2880
64091 7590 11/03/2009 AFTON CHEMICAL CORPORATION LUEDEKA, NEELY & GRAHAM, PC P.O. BOX 1871 KNOXVILLE, TN 37901				
EXAMINER				
LANG, AMY T				
ART UNIT		PAPER NUMBER		
3731				
MAIL DATE		DELIVERY MODE		
11/03/2009		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/756,711

Applicant(s)

MILNER ET AL.

Examiner

AMY T. LANG

Art Unit

3731

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 August 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 4, 5 and 7-13 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 4, 5 and 7-13 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☒ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-8508)
Paper No(s)/Mail Date 08/06/2009
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Inventor's Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Response to Amendment

1. The Affidavit under 37 CFR 1.132 filed 08/06/2009 is sufficient to overcome the rejection of claims 1, 4, 5, and 7-13 based upon Sullivan (US 2003/0171222 A1).

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
 2. Ascertaining the differences between the prior art and the claims at issue.
 3. Resolving the level of ordinary skill in the pertinent art.
 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
4. **Claims 1, 4, 5, 8, and 10-13** are rejected under 35 U.S.C. 103(a) as being unpatentable over Perozzi (US 5,498,355) in view of Waters (EP 0,434,464 A1) and Ozbalik (US 5,242,613).

With regard to **claims 1 and 10-13**, Perozzi discloses a lubricating oil composition comprised of hydrocarbyl dithiophosphate salt and dihydrocarbyl polysulfides (column 1, lines 6-10; column 2, lines 29-32; column 9, lines 38-41; column

16, lines 27-28). The hydrocarbonyl portion is later disclosed as dihydrocarbonyl since the formula of the hydrocarbonyl dithiophosphate displays two hydrocarbonyl moieties (column 9, lines 55-60). The polysulfides are further disclosed as typical and well known antiwear or extreme pressure additives (column 16, lines 27-28). Since Perozzi discloses more than one polysulfide, Perozzi clearly teaches a mixture of polysulfides.

The base oil of the composition is a mineral oil with a suitable viscosity for lubricating a crankcase (column 19, lines 23-24). The kinematic viscosity of the lubricating composition, as measured during the L-38 test that determines characteristics of crankcase lubricants, is disclosed as 14.05 cSt at 100 degrees Celsius (column 24, lines 44-49; column 25, lines 1-15). Therefore, the base oil would also share this kinematic viscosity, since it is suitable for lubricating a crankcase.

Perozzi further discloses additional additives in the composition including corrosion inhibitors, rust inhibitors, antifoam agents, and dispersants (column 15, lines 16-19; column 16, lines 13-18; column 17, line 25). The dispersant is further disclosed as a boronated ashless Mannich base dispersant (column 17, lines 25-36, 50-58). Mannich base dispersants and succinimide dispersants are well known in the art and can be utilized interchangeably so that it would have been obvious to one of ordinary skill at the time of the invention for Perozzi to utilize a succinimide dispersant.

Perozzi does not disclose (i) the lubricating oil for use as gear oil (ii) the instantly claimed component D in the lubricating oil composition, or (iii) the polysulfide mixture comprised of di-t-butyl disulfide, di-t-butyl trisulfide, and di-t-butyl tetrasulfide.

With respect to (i) above, the term "gear oil" is an intended use phrase and is given no patentable weight. The examiner's position is supported by case law, which holds that "where a patentee defines a structurally complete invention in the claim body and uses the preamble only to state a purpose or intended use for the invention, the preamble is not a claim limitation." *Rowe v. Dror*, 112 F.3d 473, 478, 42 USPQ2d 1550, 1553 (Fed. Cir. 1997) and MPEP 2111.02.

With respect to (ii) above, Perozzi discloses the addition of one or more antiwear agents including amine salts of phosphorus acids (column 16, lines 19-25). Waters discloses a lubricating composition comprising a metal-free anti-wear or load carrying additive (page 2, lines 18-24). This additive is further disclosed as di-hydrocarbyl thiophosphate amine salt, which clearly overlaps the instantly claimed component D (page 2, lines 47-53). The anti-wear or load carrying additive is present in the composition from 0.05 to 3wt%. Waters teaches that the additive is advantageous when added to a lubricating composition since it is zinc free (page 2, lines 7-9). Lubricating fluids that contain zinc pollute the land when spillage occurs. Therefore, since Perozzi discloses an antiwear agent as an amine salt of phosphorus acid and Waters discloses a specific amine salt of phosphorus acid antiwear agent that is advantageous by not contributing to pollution, it would have been obvious for Perozzi to utilize the antiwear agent disclosed by Waters.

With regard to (iii) above, Perozzi discloses the addition of a mixture of dihydrocarbyl polysulfides to aid in antiwear or extreme pressure effects. Perozzi teaches that these additives are well known in the art. Ozbalik also discloses a

composition comprised of a mixture of dihydrocarbyl polysulfides, specifically dihydrocarbyl disulfide, dihydrocarbyl trisulfide, and dihydrocarbyl tetrasulfide (column 1, lines 11-12; column 2, lines 62-68). The polysulfide mixture is designed to comprise a high percentage of dihydrocarbyl di- and tri-sulfides and less high dihydrocarbyl polysulfides to achieve excellent use as an extreme pressure lubricant additive (column 1, lines 40-51). This mixture advantageously exhibits extreme pressure and reduced wear properties under high torque and low speed applications (column 3, lines 1-6). The polysulfides additionally have a low corrositivity to copper containing metals (column 3, lines 5-6). Ozbalik teaches the mixture comprises 17.1 mg disulfide, 44.1 mg trisulfide, and 31.1 mg tetrasulfide which correspond to a sulfur activity of greater than 125 mg in the Copper Corrosion Test (column 11, line 64 through column 12, lines 18). Since Perozzi only broadly discloses a dihydrocarbyl polysulfide mixture and Ozbalik teaches a specific mixture that is advantageous, it would have been obvious for Perozzi to use the mixture disclosed by Ozbalik.

With regard to **claim 4**, Perozzi teaches the extreme pressure additives, which includes the dihydrocarbyl polysulfide mixture, is present in the composition from 0.001 to 5wt% (column 17, lines 20-24). This clearly overlaps the claimed range of less than about 3.5wt%.

With regard to **claims 5 and 8**, the di-hydrocarbyl thiophosphate amine salt of Waters clearly overlaps the product of the mixture of dicyclopentadiene and dialkylidithiophosphoric acid and the product of the mixture of dibutylhydrogen phosphite, sulfur, and an amine.

5. **Claims 7 and 9** are rejected under 35 U.S.C. 103(a) as being unpatentable over Perozzi (US 5,498,355) in view of Waters (EP 0,434,464 A1) and Ozbalik (US 5,242,613) as applied to claim 1 above, and further in view of Milner (US 6,133,207).

Perozzi in view of Waters and Ozbalik, as discussed above and incorporated here by reference, disclose a gear oil lubricant comprised of hydrocarbyl polysulfides, dihydrocarbyl dithiophosphate ester, and a dihydrocarbyl (mono)thiophosphate ester.

The combination of Perozzi, Waters, and Ozbalik is silent as to whether the dihydrocarbyl (mono)thiophosphate amine salt is free of phosphites.

Milner teaches that the additive combination of hydrocarbyl polysulfides and dihydrocarbyl (mono)thiophosphate amine salts produces a strong odor (column 1, lines 40-55; column 2, lines 30-44; column 3, lines 16-20). The disclosed examples show that when phosphite was completely converted to the thiophosphate amine salt, no odor was generated (Inventive Example 2, column 4; Inventive Example 4, column 4 through column 5). However, when the phosphite was not completely converted, a strong odor was generated (Comparative Example 1, column 4; Comparative Example 5, column 5). This strong odor invites many concerns from residential areas near manufacturing plants that might lead to the plant closing down by orders from the EPA (column 2, line 59 through column 3, line 9). Therefore, it would have been obvious for the combination of Perozzi in view of Walters and Ozbalik to produce thiophosphate amine salts free of phosphites to eliminate the strong odor.

6. **Claim 8** is rejected under 35 U.S.C. 103(a) as being unpatentable over Perozzi (US 5,498,355) in view of Waters (EP 0,434,464 A1) and Ozbalik (US 5,242,613) as applied to claim 1 above, and further in view of Walters (EP 0,744,456 A2).

Perozzi in view of Waters and Ozbalik, as discussed above and incorporated here by reference, disclose a gear oil lubricant comprised of hydrocarbyl polysulfides, dihydrocarbyl dithiophosphate ester, and a dihydrocarbyl (mono)thiophosphate ester.

If Applicant were to argue that Waters does not disclose the product of the mixture of dibutylhydrogen phosphite, sulfur, and an amine, Walters also discloses a gear oil lubricant comprised of a base oil hydrocarbyl polysulfide, and a dihydrocarbyl (mono)thiophosphate ester. The thiophosphate amine salt is further disclosed as being the product of a dihydrocarbyl hydrogen phosphite, such as dialkyl hydrogen phosphite, sulfur, and one or more amines (page 6, lines 38-57). Since this production method is known to one of ordinary skill in the lubricant art, it would have been obvious for the dihydrocarbyl (mono)thiophosphate ester of Perozzi to also be made from a dibutylhydrogen phosphite, sulfur, and an amine.

7. **Claim 5** is rejected under 35 U.S.C. 103(a) as being unpatentable over Perozzi (US 5,498,355) in view of Waters (US EP 0,434,464 A1), Ozbalik (US 5,242,613), and Minn (US 4,282,153).

Perozzi in view of Waters and Ozbalik, as discussed above and incorporated here by reference, disclose a gear oil lubricant comprised of hydrocarbyl polysulfides, dihydrocarbyl dithiophosphate ester, and a dihydrocarbyl (mono)thiophosphate ester.

If Applicant were to argue that Waters does not disclose the product of the mixture of dicyclopentadiene and dialkyldithiophosphoric acid, Minn discloses a method to produce a dihydrocarbyl dithiophosphate involving a reaction mixture of O,O-diethyl dithiophosphoric acid, a dialkyldithiophosphoric acid, and dicyclopentadiene (Example 3, column 3). The reaction produced bis(O,O-diethyl dithiophosphate), which is a dihydrocarbyl dithiophosphate. Since Minn discloses a successful method for producing a dihydrocarbyl dithiophosphate, it would have been obvious for Perozzi to also utilize this method, since the scope of Perozzi includes any suitable method.

Response to Arguments

8. Applicant's arguments filed 08/06/2009 have been fully considered but they are not persuasive. Perozzi discloses a "laundry list" of ingredients and makes no suggestion as to a particular mixture. However, Perozzi is used in a 35 USC 103 so choosing specific ingredients from the so called "laundry list" is rendered obvious. Additionally, Perozzi discloses the novel invention with a list of well known additives. Therefore, this "laundry list" consists of well known additives that would have been obvious for Perozzi to combine with his invention.

Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to AMY T. LANG whose telephone number is (571)272-9057. The examiner can normally be reached on M-F 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anh Tuan Nguyen can be reached on 571-272-4963. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

10/30/2009
/Amy T Lang/
Examiner, Art Unit 3731

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11/02/09